Complete if Known 10/807,643

March 23, 2004

KAPLAN, AARON V.



Substitute for form 14499/PTO
RADENATION DISCLOSUE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	•			Art Unit	Unassigned
	(use as many sh	eets a:	s necessary)	Examiner Name	Unassigned
Sheet	1	of	3	Attorney Docket Number	015471-000910US

Application Number

First Named Inventor

Filing Date

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Examiner Initials*			Publication Date MM-DD-YYYY	Name of P Applicant of Ci		Relevant Pass	ns, Lines, Where
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AS		5,102,417	Palmaz	04-07			
AS AS	3	5,342,387	Summers	08-30			
	4	5,507,769	Marin et al.	04-16			
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	12	5,741,325	Chaikof et al.	04-21-			
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AS AS	-16	5,824,052	Khosravi et al.	10-20			
AS	17	5,827,320	Richter et al.	10-27			<u> </u>
AS	18	5,855,598	Pinchuk	01-05			
	19	5,860,998	Robinson et al.	01-19			
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	22	5,897,588	Hull et al.	04-27			
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	26	6,017,363	Hojeibane	01-25			·
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Examiner Signature	Ī	/Ann Schillinge		Date Considered		8/2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁸ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached. 60209259 v I

Substitu	ite for form 1449A/PT	О	-		Complete if Known
				Application Number	10/807,643
INFO	ORMATION	l DI	SCLOSURE	Filing Date	March 23, 2004
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	KAPLAN, AARON V.
			•	Art Unit	Unassigned
	(use as many she	eets a	s necessary)	Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	015471-000910US

U.S. PATENT DOCUMENTS+									
Examiner	Cite	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Initials*	No.1	Number Kita Cade (il kitawii)	MIMI-UU-TTTT	Applicant of Green Document	Figures Appear				
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λC	51	6,436,104	Hojeibane	08-20-2002					
AS AS	52	6,436,134	Richter et al.	08-20-2002					
AS	53	6,440,165	Richter et al.	08-27-2002					
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Examiner	Cite	For	eign Patent Doo	cument		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ^s (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	/Ann Schillinger/	Date Considered	08/08/2006

Substitute	for form 1449B/F	то			Complete if Known
11150		N DIO	OL OOUDE	Application Number	10/807,643
	_		CLOSURE	Filing Date	March 23, 2004
STAT	EMENT	BY A	PPLICANT	First Named Inventor	KAPLAN, AARON V.
				Art Unit	Unassigned
(use as many s	sheets as	necessary)	Examiner Name	Unassigned
Sheet	3	of	3	Attorney Docket Number	015471-000910US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
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Examiner	,	Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

		PTO/SB/UB Equivalen
	Application No.	10/807,643
INFORMATION DISCLOSURE	Filing Date	March 23, 2004
STATEMENT BY APPLICANT	First Named Inventor	Aaron V. Kaplan et al.
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Jackson
SHEET 1 OF 1	Attorney Docket No.	ANVIL.001A

	U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,587 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where t Relevant Passages or Relevan Figures Appear				
AS		6,540,779	04/2003	Richter et al.					
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	FOREIGN PATENT DOCUMENTS								
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ORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)
SHEET 1 OF 2

	Application No.	10/807,643
	Filing Date	March 23, 2004
	First Named Inventor	Aaron V. Kaplan et al.
	Art Unit	3738
į	Examiner	Suzette Jackson
	Attorney Docket No.	ANVIL.001A

U.S. PATENT DOCUMENTS						
Examiner Cite Number - Kind Code (if known) Publication Date Name of Patentee or Applicant Relevant Passage					Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Where Rele	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
AS	1.	EP 0712 614 B1	11/1995	Advanced Cardiovascular Systems			✓
AS	2.	EP 0876 805 A2	05/1998	Turnland et al.			✓
AS	3.	EP 0 959 811 B1	05/1997	Evysio Medical Devices ULC			✓
AS	4.	EP 1 325 715 A2	01/2003	Cordis Corporation			√
. AS	5.	EP 1 325 716 A1	01/2003	Cordis Corporation			1
AS	6.	EP 1 325 717 A2	01/2003	Cordis Corporation			V
AS	7.	EP 1 362 564 A1	05/2003	Cordis Neurovascular, Inc.			1
AS	8.	EP 1 433 441 A2	11/1999	Cordis Corporation			V
AS	9.	WO 96/38101	12/1996	Meadox Medicals, Inc.			✓
AS	10.	WO 98/24503	06/1998	Shaknovich			~
AS	11.	WO 00/69367	11/2000	Boston Scientific Corporation			1
AS	12.	WO 02/49538 A2	06/2002	Advanced Cardiovascular Systems, Inc.			/
AS	13.	WO 2004/026180 A2	04/2004	Advanced Stent Technologies, Inc.			√
AS	14.	WO 2004/058100 A2	07/2004	Novostent Corporation			\checkmark
AS	15.	WO 2004/089249 A1	10/2004	William A. Cook Australia PTY. LTD			V
AS	16.	WO 2004/103217 A1	12/2004	Scimed Life Systems, Inc.			/

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T¹		
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Examiner Signature	/Ann Schillinger/	Date Considered	08/08/2006

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T¹ - Place a check mark in this area when an English language Translation is attached.

	Application No.	10/807,643
INFORMATION DISCLOSURE	Filing Date	March 23, 2004
STATEMENT BY APPLICANT	First Named Inventor	Aaron V. Kaplan et al.
STATEMENT BY AFFEIGANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Jackson
SHEET 2 OF 2	Attorney Docket No.	ANVIL.001A

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹			
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		PTO/SB/08 Equivalent
FOURTH SUPPLEMENTAL	Application No.	10/807,643
	Filing Date	March 23, 2004
INFORMATION DISCLOSURE	First Named Inventor	Aaron V. Kaplan
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Gherbi
SHEET 1 OF 2	Attorney Docket No.	ANVIL.001A

	T	Document Number	Ti	DOCUMENTS	Pages, Columns, Lines Where	
Examiner Initials	Cite No.	Number - Kind Code (if known) Example: 1,234,567 81	Publication Data MM-DD-YYYY	Name of Patentee or Applicant	Relevant Passages or Relevant Figures Appear	
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Examiner Signature / Ann Schillinger/	Date Considered	08/08/2006
*Examiner: Initial if reference considered, whether or not citation is in conformance and not considered. Include copy of this form with next co		

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PAGE 3/4 * RCVD AT 4/7/2006 7:15:09 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:2738300 * CSID:949 760 9502 * DURATION (mm-ss):01-48

		PTO/SB/08 Equivalent
FOURTH SUPPLEMENTAL	Application No.	10/807,643
INFORMATION DISCLOSURE	Filing Date	March 23, 2004
	First Named Inventor	Aaron V. Kaplan
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Gherbi
SHEET 2 OF 2	Attorney Docket No.	ANVIL.001A

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Examiner Cite No.		Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevan Figures Appear	
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AS	32	2001/0020181	09-06-2001	Layne		
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ¹

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Examiner Signature /Ann Schillinger/ Date Considered 08/08/2006

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PAGE 4/4* RCVD AT 4/7/2006 7:15:09 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0* DNIS:2738300 * CSID:949 760 9502* DURATION (mm-ss):01-48